

Floor Function

By SMC Integration Club

Before we start, let's have a quick review of series.

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = \frac{n(2n+1)(n+1)}{6}$$

$$\sum_{i=1}^n i^3 = \left(\frac{n(n+1)}{2}\right)^2$$

The Test for Divergence (or the nth-term test):

If $\lim_{n \rightarrow \infty} a_n \neq 0$ (or the limit does not exist or is $\pm\infty$), then the series $\sum_{n=1}^{\infty} a_n$ diverges.

The Geometric Series:

Given the **geometric series** with ratio r and first term $a \neq 0$:

$$\sum_{n=1}^{\infty} ar^{n-1} = a + ar + ar^2 + ar^3 + \dots + ar^{n-1} + \dots$$

(i) If $|r| < 1$, then the series is convergent and its sum is $\sum_{n=1}^{\infty} ar^{n-1} = \frac{a}{1-r}$

(ii) If $|r| \geq 1$, then the series diverges.

Also, don't forget about your **comparison tests!**

Now...

What is the Floor Function?

Simply, a floor function returns an integer that is less than or equal to the inputted number. It is strictly defined as:

$$\lfloor x \rfloor = \max \{m \in \mathbb{Z} | m \leq x\} \quad (1)$$

Graph of $\lfloor x \rfloor$:

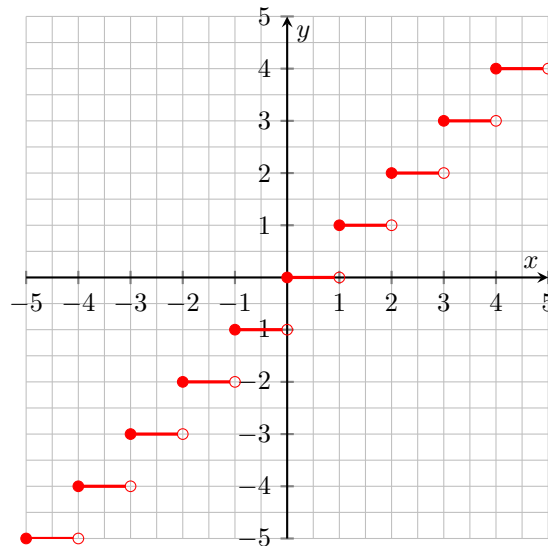


Figure 1: Graph of the Floor Function $\lfloor x \rfloor$.

Some examples of the floor function:

$$\lfloor 5.9999 \rfloor = 5$$

$$\lfloor -0.5 \rfloor = -1$$

$$\lfloor 1 \rfloor = 1$$

$$\lfloor 0.7 \rfloor = 0$$

$$\lfloor -1.34 \rfloor = -2$$

The floor function's derivative is simple, especially when you can just analyze the graph.

$$\frac{d}{dx} \lfloor x \rfloor = \begin{cases} 0, & x \notin \mathbb{Z} \\ \text{does not exist,} & x \in \mathbb{Z} \end{cases} \quad (2)$$

If you want a peek at the distributional derivative, it's the following:

$$\frac{d}{dx} \lfloor x \rfloor = \sum_{k \in \mathbb{Z}} \delta(x - k) \quad (3)$$

All this says is that where $x = k$, there is a spike and the derivative does not exist. Anywhere else is 0. You won't need to know this though, for now.

Now, the important part: Integrals!

The integral of the floor function can be extremely simple or a bit long depending on what restrictions you apply. For example,

$$\int_0^n \lfloor x \rfloor dx = \sum_{k=0}^{n-1} \int_k^{k+1} k dx = \frac{n(n-1)}{2}, \quad \text{for } n \in \mathbb{Z} \text{ and } n \geq 1 \quad (4)$$

But look, the one above only works for n being an integer and only if it is greater than 0. So, what if we wanted to include 0? Or negative numbers?

$$\int_a^b \lfloor x \rfloor dx = \frac{\lfloor b \rfloor (\lfloor b \rfloor - 1)}{2} - \frac{\lfloor a \rfloor (\lfloor a \rfloor - 1)}{2} + \lfloor b \rfloor (b - \lfloor b \rfloor) - \lfloor a \rfloor (a - \lfloor a \rfloor) \quad (5)$$

The one above is a floor specific formula. But, a general interval decomposition identity will be useful as well:

$$\int_a^b f(x) dx = \int_{\lfloor a \rfloor}^{\lfloor b \rfloor} f(x) dx + \int_{\lfloor b \rfloor}^b f(x) dx - \int_a^{\lfloor a \rfloor} f(x) dx \quad (6)$$

Now, Equation (5) looks pretty ugly, I know. The reason is because this version allows non-integers and zero in a non-integral form. Let's break it down; we'll use t as a dummy variable here.

$$F(x) = \int_0^x \lfloor t \rfloor dt, \quad \longrightarrow \quad \int_a^b f(x) dx = \int_0^b f(x) dx - \int_0^a f(x) dx$$

Now,

$$\text{When } f(x) = \lfloor x \rfloor, \quad \int_a^b \lfloor x \rfloor dx = F(b) - F(a)$$

So, with this we've only defined $F(x)$ implicitly as an integral, so how can we write $F(x)$ without an integral sign? Let's think about this geometrically.

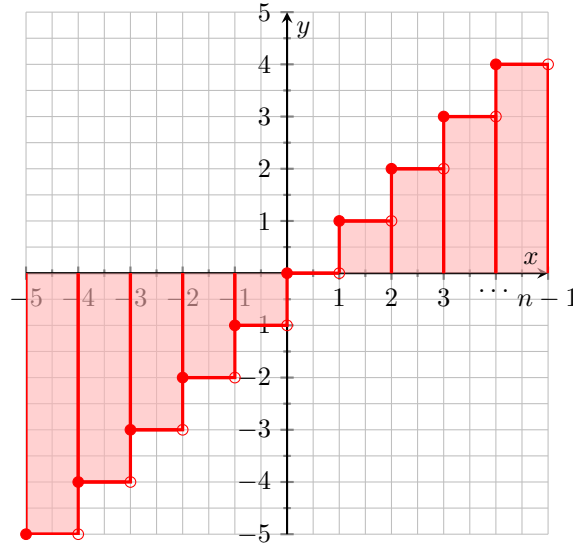


Figure 2: Shaded graph of the Floor Function $\lfloor x \rfloor$.

The graph shows that, the integral of the floor function is really just adding up multiple rectangles. If a bound is a non-integer, it's really just a smaller rectangle. So,

$$\text{Full rectangles from } 0 \text{ to } n: \frac{n(n-1)}{2}, \quad n = \lfloor x \rfloor$$

$$\text{Partial rectangles from } n \text{ to } x: n(x-n), \quad n = \lfloor x \rfloor$$

Thus,

$$F(x) = \int_0^x \lfloor t \rfloor dt = \frac{n(n-1)}{2} + n(x-n), \quad n = \lfloor x \rfloor$$

But, we want from some initial value that may not always be 0. So, by using the $F(b) - F(a)$ mentioned before, we can assign each version it's expanded form and just subtract the two.

$$\int_a^b \lfloor x \rfloor dx = \left[\frac{\lfloor b \rfloor (\lfloor b \rfloor - 1)}{2} + \lfloor b \rfloor (b - \lfloor b \rfloor) \right] - \left[\frac{\lfloor a \rfloor (\lfloor a \rfloor - 1)}{2} + \lfloor a \rfloor (a - \lfloor a \rfloor) \right]$$

That's where you get:

$$\int_a^b \lfloor x \rfloor dx = \frac{\lfloor b \rfloor (\lfloor b \rfloor - 1)}{2} - \frac{\lfloor a \rfloor (\lfloor a \rfloor - 1)}{2} + \lfloor b \rfloor (b - \lfloor b \rfloor) - \lfloor a \rfloor (a - \lfloor a \rfloor) \quad (7)$$

Now that we've got a handful of methods to integrate a floor function, let's try some problems now:

$$1) \int_0^{\infty} [x] dx$$

The previous example isn't too interesting, we know it'll go to infinity. A better example that pulls in summation formulas requires a more restrictive domain.

$$2) \int_0^{10} [x] dx$$

A bit more complicated, but it's possible given what you've been taught.

3) $\int_0^{\infty} e^{-[x]} dx$

Will the following integrals **converge** or **diverge**?

4) $\int_1^{\infty} \frac{\lfloor x \rfloor}{x} dx$

$$5) \int_2^{\infty} \frac{\lfloor x \rfloor x^2}{(x^3 + 1)^2} dx$$

For problems **6** and **7**, simplify completely within reason. You may leave any summations in summation form.

$$\mathbf{6)} \int_{0.5}^{100.5} x^2 e^{-\lfloor x \rfloor} dx$$

$$\mathbf{7)} \int_{0.5}^{7.5} x e^{-\lfloor x \rfloor} dx$$

Last one! It's challenging, but you can find what this "converged" to!
Remember: Some sums tends to collapse into themselves after expansion.

$$8) \int_1^{\infty} \frac{1}{[x]x^2} dx$$